



## COURSE SYLLABUS

### CERTIFICATE PROGRAM

# SANCTIONS COMPLIANCE

FCA

**FINANCIAL  
CRIME ACADEMY**

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# COURSE DESCRIPTION



Did you know that the single highest fine for sanctions violations was for the unbelievable amount of 8.9 billion US-dollar?

In 2015, the French bank BNP agreed to this penalty and pled guilty to settle charges it concealed roughly 8.8 billion US-dollar of transactions with countries like Sudan, Iran and Cuba. Given the enormous penalties in place, many companies and individuals are concerned about violating sanctions regulations.

Sanctions are an important tool of governance in the global financial industry. Most countries have used sanctions or had sanctions placed against either them or their citizens. States increasingly use sanctions to fight economically, rather than physically, and as such, sanctions have become a common tool in foreign relations, peacekeeping and conflict resolution.

Given their prevalence, everybody in international trade and in the financial industry should have a good understanding of what sanctions are, how they work, and why they are used.

Sanctions can be complex, and dealing with sanctioned parties can be risky. This Certificate Program is designed to give you a comprehensive overview of the complex environment of global sanctions and sanctions compliance.

Those bodies charged with enforcing sanctions compliance are particularly active at the moment, with multiple fines for firms in recent years stretching into billions of dollars. Thus, this Certificate Program covers important topics and concepts, that you will come across in practice if you are working in a multinational organization or in cross-border business dealings.

This Certificate Program is quite comprehensive and is highly recommended for professionals that want to acquire and demonstrate specialized knowledge in sanctions compliance and anti-financial crime. Because this subject is nowadays so incredibly important, this Certificate Program is also a great way for professionals to increase their value through a better understanding of financial crime and sanctions compliance.

# COURSE CONTENT



## **Module 1: Sanctions Foundation**

- What you will learn in this Module
- What are Sanctions
- Types of Sanctions
- History of Sanctions
- How do Sanctions work
- Subject of Sanctions
- Extraterritoriality of Sanctions
- Blocking Statutes
- Sanctions Impact
- Section Summary

## **Module 2: Selected Sanctions Regulation**

- What you will learn in this Module
- Who imposes sanctions
- Financial Action Task Force
- UN Sanctions
- USOFAC Sanctions
- EU Sanctions
- Section Summary

## **Module 3: Sanctions Violations**

- What you will learn in this Module
- Consequences of NonCompliance
- Selected Sanctions Fines
- Consequences for Individuals
- Section Summary

## **Module 4: Risk Mitigation: Sanctions Compliance Program**

- What you will learn in this Module
- Management Commitment
- Sanctions Risk Assessment
- Internal Controls
- Testing and Auditing
- Communication and Training
- Section Summary

## **Module 5: KYC & Sanctions Screening**

- What you will learn in this Module
- Know Your Customer
- Sanctions Screening
- Name Screening vs\_ Payment Screening
- Programmatic Sanctions Screening
- List Screening
- Section Summary

# COURSE OVERVIEW



## LEARNING OBJECTIVES

- Explain sanctions in the context of economic and financial sanctions
- Exemplify various relevant types of economic sanctions in a globalized world
- Understand how international sanctions work including extraterritoriality and blocking statutes
- Get to know selected international sanctions regulations including United Nations, United States/OFAC, and European Union
- Learn about the severe consequences of sanctions violations for organizations and individuals including case studies and examples
- Understand how to manage sanctions risks by implementing an effective sanctions compliance program
- Explain Know Your Customer (KYC) in the context of sanctions compliance
- Learn about sanctions screening, name screening, and payment screening

## LEARNING METHOD

This Certification Program is presented entirely online. Modules can be completed in your own time and at your own pace.

This interactive, supportive teaching model is designed for busy professionals and results in unprecedented certification rates for Certification Programs.



## TARGET AUDIENCE

- Professionals wanting to acquire and demonstrate specialized knowledge in sanctions compliance and managing sanctions risks
- Professionals wanting to increase their value through better understanding of sanctions compliance and managing sanctions risks
- Career starters and students wanting to build a comprehensive sanctions compliance knowledge
- Anyone who is genuinely interested in sanctions compliance and managing sanctions risks

## REQUIREMENTS

For participating in this Certificate Program, you will need an electronic device with online video-viewing capabilities (e.g., smartphone, tablet, laptop, desktop computer, etc.).

You will need no previous knowledge in sanctions, compliance or anti-financial crime, although this is helpful. A background in business, legal, or finance might also be beneficial but is not required.



# PRICING OPTIONS



## OPTION 1: BASIC BUNDLE

- ✓ Video Course
- ✓ Knowledge Checks
- ✓ Lifetime Access
- ✓ Study Guide
- ✓ Audio Book
- ✓ Community
- ✓ Certificate

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**\$ 295**

## OPTION 2: PREMIUM BUNDLE

- ✓ Video Course
- ✓ Knowledge Checks
- ✓ Lifetime Access
- ✓ Study Guide
- ✓ Audio Book
- ✓ Community
- ✓ Certificate

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**\$ 395**



# COURSE BENEFITS

## IN-DEMAND SKILLS

Gain the relevant knowledge to ensure that you are supporting your firm and progressing your career.

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## CAREER CREDENTIAL

Get a business school certificate with Swiss School of Business and Management Geneva.

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## FLEXIBILITY

Study on-demand and at your own pace, regardless of where you are in the world.

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## COMMUNITY

Get access to our exclusive LinkedIn group with thousands of like-minded professionals and experts.

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## COURSE MATERIAL

Download supplementary course material such as the comprehensive course study guide.

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## QUIZ QUESTIONS

Test your knowledge with multiple-choice questions and determine which skills you have mastered!



# STUDENT VOICES



I followed two online trainings by Financial Crime Academy and they get a five star rating by me. Their instructors speak good English and their manner of presenting is great. The downloads are very clear and useful.

**Ruud Hamers, Fraud, OSINT, and KYC Investigator**



I took a course from Financial Crime Academy that made me learn the Basics of Money Laundering, AML, the Risk-Based Approach, the framework for AML Compliance Programs, and the concepts of KYC, CDD, EDD, PEP. It was a very good course, easy to understand and provided me with great knowledge. I strongly recommend it for professionals working in these areas or for who wants to enter in these market. **Natana Lanzarin, Lawyer**



I strongly recommend online courses from Financial Crime Academy for everyone in the beginning or mid-career in Anti Financial Crime. The presentation of the course is excellent alongside an ebook and a handful of other resources. I can further vouch for another unique feature of this course that will be relevant to you irrespective of your geographical location. **Anmol Mehta, Chartered Accountant**





