



AFSA NOTICE № AFSA-N-NB-2022-0001
ON EQUIVALENT REGULATED
EXCHANGES FOR SECURITIES AND
LISTED FUNDS

Date of issue: 20 April 2022

Nur-Sultan, Kazakhstan



ON EQUIVALENT REGULATED EXCHANGES FOR SECURITIES AND LISTED FUNDS

NOTICE No. AFSA-N-NB-2022-0001

THE ASTANA FINANCIAL SERVICES AUTHORITY (AFSA) HEREBY GIVES NOTICE THAT:

The following Regulated Exchanges are determined as Equivalent Regulated Exchanges for Securities and Listed Funds to be admitted to the Official List maintained by the Astana International Exchange (AIX) in accordance with MAR 1.2.2(2) and AIFC Glossary (Equivalent Regulated Exchange for Listed Funds):

No.	Equivalent Regulated Exchange	Effective Date
1.	London Stock Exchange – <i>Main Market</i> – <i>Alternative Investment Market</i>	28 February 2019 10 September 2019
2.	Euronext Dublin	10 September 2019
3.	Hong Kong Stock Exchange – <i>Main Board</i> – <i>Growth Enterprise Market</i>	1 November 2019 1 November 2019
4.	Shanghai Stock Exchange – <i>Main Board</i>	10 January 2020
5.	Toronto Stock Exchange	3 November 2021
6.	Frankfurt Stock Exchange – <i>General Standard</i> – <i>Prime Standard</i>	3 November 2021 3 November 2021
7.	Australian Securities Exchange	20 April 2022
8.	New York Stock Exchange	20 April 2022
9.	Nasdaq Stock Market	20 April 2022

INTERPRETATION

Defined terms are identified in this notice by the capitalisation of the initial letter of a word or of each word in a phrase and are defined in the AIFC Glossary. Unless the context otherwise requires, where capitalisation of the initial letter is not used, an expression has its natural meaning.

THIS NOTICE IS CONSIDERED TO BE A REPLACEMENT TO AFSA NOTICE №0004 ISSUED ON 28 FEBRUARY 2019 (AS AMENDED), AFSA NOTICE №0009 ISSUED ON 23 JULY 2019 (AS AMENDED) AND AFSA NOTICE №AFSA-N-NB-2021-0004 ISSUED ON 3 NOVEMBER 2021.