



AFSA NOTICE № AFSA-N-NB-2024-0002
ON STANDARD WAIVER

Date of issue: 04.03.2024

Astana, Kazakhstan



Notice on Fees Rules

To	Astana International Exchange Central Securities Depository Ltd
Business identification number	180840900020
The address of the Registered Office	010000, Astana, Esil district, 55/19, Mangilik EI

NOTICE No. AFSA-N-NB-2024-0002

THE ASTANA FINANCIAL SERVICES AUTHORITY HEREBY GIVES NOTICE THAT:

The Rule specified in the left-hand column of the Table does apply to the above-mentioned Person as modified to the extent shown in the right-hand column of the Table herein. In this Table, underlining indicates new text, and striking through indicates deleted text, otherwise, the Rule remains unaltered.

TABLE – RULES Waived:

AIFC Act	Proposed modification
AIFC Fees Rule Schedule 6, 6.2 Annual supervision fee for Market Activity, Formula 2, Settlement Value Fee calculation.	6.2 Annual supervision fees for Market Activities SVF – Settlement value fee (excluding On-Exchange Trades on Secondary Market <u>and free of charge transfers</u>), calculated as 0.001% of settlement value (paid quarterly within 21 days after the issuance of invoice by the AFSA, but no later than within 1 month following each corresponding quarter) <i>“Free of charge transfers” means financial instruments transferred without cost, maintaining current ownership or to a legal successor.</i>

EFFECTIVE PERIOD

This notice shall become effective as of the date of signing and shall remain in force until further instructions from the AFSA.

INTERPRETATION

Defined terms are identified in this notice by the capitalisation of the initial letter of a word or of each word in a phrase and are defined in the AIFC Glossary. Unless the context otherwise requires, where capitalisation of the initial letter is not used, an expression has its natural meaning.

THIS NOTICE IS ISSUED PURSUANT TO SECTION 9 OF THE FINANCIAL SERVICES FRAMEWORK REGULATIONS BY THE ASTANA FINANCIAL SERVICES AUTHORITY.