



# **AMENDMENTS № 5 TO AIFC AUTHORISED MARKET INSTITUTIONS RULES**

**Approval Date: 26 October 2021**

**Commencement Date: 26 October 2021**

## AIFC AUTHORISED MARKET INSTITUTIONS RULES (AMI)

In this Appendix, a blue font and underlining indicates new text and strikethrough indicates deleted text, unless otherwise indicated.

### 6. RULES APPLICABLE TO AN AUTHORISED DIGITAL ASSETS TRADING FACILITY

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#### 6.7. Clients of an Authorised Digital Asset Trading Facility and Investment limits

- (1) Members of an Authorised Digital Asset Trading Facility and their clients will be Clients of an Authorised Digital Asset Trading Facility.
- (2) An Authorised Digital Asset Trading Facility must maintain effective systems and controls to ensure that a Retail Client using its service does not invest, in respect of all Digital Assets in aggregate calculated over a period of one month, an amount which exceeds the greater of:
  - a) USD 1,000; or
  - b) the lesser of (i) 10 percent of the annual income; or (ii) 5 percent of the net worth of such Retail Client (excluding the value of the primary residence), up to a maximum aggregate amount of USD100,000.