



AMENDMENTS No. 6
AIFC COLLECTIVE INVESTMENT SCHEME RULES
(CIS)

Approval date: 22 September 2024
Commencement date: 4 October 2024

Astana, Kazakhstan



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In this document, an underlining and blue indicates new text and strikethrough indicates deleted text, unless otherwise indicated.

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4. REGISTRATION AND NOTIFICATION REQUIREMENTS

4.2. Application for registration

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(d) The registration form must be accompanied by:

(i) copies of the Fund's Constitution and Offering Materials, unless the Fund is a Corporate Treasury Centre Fund; and

...

5.3. Content requirements for Offering Materials

...

(b) ~~Prior to investing in a Fund, a potential investor must be supplied with~~ A Fund Manager (other than the Fund Manager of a Corporate Treasury Fund) must give to a potential investor Offering Materials and other documentation that contain all the information which a person and his professional advisers would reasonably require and expect to be able to make an informed decision to become a Unitholder of the Fund, including the following:

...

6. RULES REGARDING THE CONSTITUTION AND INVESTMENT POWERS OF FUNDS

6.2. General requirements

(a) Every Fund, except a Corporate Treasury Centre Fund, must have:

(i) a written Constitution which complies with these Rules and, if the Fund is a Non-Exempt Fund, contains the contents specified in Schedule 1;

...

7. RULES REGARDING THE MANAGEMENT AND OPERATION OF FUNDS

7.2 General management duties

7.2-1 Director

7.2-1.1 Application

This chapter applies to:

(a) a Single Family Office Fund;

(b) a Corporate Treasury Centre Fund; and

(c) the Director of an Investment Company acting as a Fund Manager of (a) or (b).

7.2-1.3 Requirements relating to the Corporate Treasury Centre Fund

(a) The Corporate Treasury Centre Fund must be:

(i) an Exempt Fund; and

(ii) a Group arrangement.



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(b) For the purposes of these Rules, a Corporate Treasury Centre Fund is treated as a Domestic Fund that is managed by a Domestic Fund Manager.

(c) The Corporate Treasury Centre Fund Manager is not required to have a Governing Body and appoint a Finance Officer and Compliance Officer.

7.2-1.4 Requirements relating to a Director

(a) The Director of a Single Family Office Fund who is managing the Single Family Office Fund must not act as the Fund Manager of any other Fund or manage assets for another Person.

(b) The Director of a Corporate Treasury Centre Fund who is managing the Corporate Treasury Centre Fund must not act as the Fund Manager of any other Fund or manage assets for another Person.

10.5-1. Fund Manager's report

The matters set out in (a) to (f) must be included in any Fund Manager's report, except for the Corporate Treasury Centre Fund Manager's report:

...

10.5-1.1 Corporate Treasury Fund Manager's report

For a report on a Corporate Treasury Centre Fund, the Fund Manager must report on the progress of the fund's treasury activities and include in the report:

- i. a copy of the fund's annual return;
- ii. copies of the fund's audited financial statements; and
- iii. any additional information or document required by the AFSA.