



AMENDMENTS № 10 TO AIFC CONDUCT OF BUSINESS RULES

Approval Date: 24 April 2022

Commencement Date: 3 May 2023

AIFC CONDUCT OF BUSINESS RULES (COB)

In this Appendix, a blue font and underlining indicates new text and strikethrough indicates deleted text, unless otherwise indicated.

(...)

1.3.3. Fitness and propriety

13.3. Additional obligation of an Ancillary Service Provider licensed to carry on an Ancillary Service of Providing Legal Services

An Ancillary Service Provider licensed to carry on an Ancillary Service of Providing Legal Services must at all times employ at least one Legal Adviser registered with the AIFC Legal Services Board.

Note: COB 13.3 commences after 12 months from such date as the Governor decides to establish the AIFC Legal Services Board under section 7 of the AIFC Legal Services Regulations 2022.