



AMENDMENTS No. 6
AIFC COMPANIES REGULATIONS

Approval date: 28 December 2022

Commencement date: 01 January 2023

Astana, Kazakhstan



In this document, underlining indicates a new text and strikethrough indicates a removed text.

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26. Annual returns

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(2) The annual return must be accompanied by the filing fee prescribed by the Rules ~~from time to time~~.

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26 - 1. Annual confirmation of accuracy of information in the register

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(10) The confirmation statement must be accompanied by the filing fee prescribed by the Rules ~~from time to time~~.

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179-4 Requirements relating to Beneficial Ownership Register

(1) A Relevant Person shall keep and maintain a Beneficial Ownership Register within the time specified in subsections (3) and (4), in which the UBO Details in respect of each of its Ultimate Beneficial Owners and (if applicable) the information required under section 179-9 (Ownership through the Exempt entity), shall be recorded. The Relevant Person shall record any changes to this information in the Beneficial Ownership Register within ~~thirty (30)~~ fourteen (14) days of becoming aware of such change.

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(2) The Beneficial Ownership Register shall be kept and maintained at the address of the Relevant Person's registered office or any other address in the AIFC notified in Writing by the Relevant Person to the Registrar.

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179-8 Exemptions

The requirements in this Part do not apply to a Relevant Person which:

- (1) has its Securities listed or traded on a Recognised Exchange;
- (2) ~~is regulated by a Financial Services Regulator in a Relevant Jurisdiction~~ [Intentionally omitted];

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179-9 Ownership through an exempt entity

Notwithstanding Chapters 1 to 3 and Chapter 5, where a Person referred to in section 179-8(1) to (6) (Exemptions) beneficially owns or controls (directly or indirectly) at least 25% of a Relevant Person, the Relevant Person shall:

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(2) record the following information in respect of each such entity on its Beneficial Ownership Register:

- (a) full legal name;
- (b) registered address;
- (c) the category under section 179-8 that applies to the entity; and
- (d) if the entity:
 - (i) has its securities listed or traded on a Recognised Exchange, the name of the Recognised Exchange;
 - (ii) ~~is regulated by a Financial Services Regulator in a Relevant Jurisdiction, the name of such regulator~~ [Intentionally omitted];

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