



AMENDMENTS No. 4
AIFC COMPANIES RULES

Approval date: 17 October 2021

Commencement date: 01 January 2022

Nur-Sultan, Kazakhstan



In this document, an underlining indicates new text and strikethrough indicates deleted text, unless otherwise indicated.

...

2.4.2. The following provisions apply to the name of a Company or the reservation of a name for a Company (or a proposed Company):

...

(c) the name must not ~~include~~ include words that may suggest a relationship with the AIFCA, AFSA or any other governmental authority in the AIFC, Nur-Sultan or the Republic of Kazakhstan, unless the relevant authority has consented in Writing to the use of the name;

...

4.3. Allotment of Shares

If a Company Aallots Shares in the Company, the Company must, within 14 days after the day that it allots the Shares, notify the Registrar of Companies in Writing of the Allotment of the Shares.

...

6.7.8. An Investment Company shall maintain its register of Shareholders in accordance with the requirements:

- (a) in the AIFC Collective Investment Scheme Rules rule 7.10; and
- (b) in Chapter 5 of Part 7 of the AIFC Companies Regulations, to the extent that such requirements are not inconsistent with the requirements referred to in (a).