



AMENDMENTS No.3
AIFC PRUDENTIAL RULES FOR
INVESTMENT FIRMS

Approval Date: 12 December 2021

Commencement Date: 01 January 2022

Nur-Sultan, Kazakhstan



In this document the underlining indicates new text and the striking through indicates deleted text in the proposed amendments

1.3 Application of PRU(INV) Rules

(...)

(6) These PRU(INV) Rules do not apply to entities that have an Authorisation to conduct any of the following activities unless they are PRU Investment Firms, and the following activities are not PRU Investment Business:

(a) Insurance Intermediation;

(b) Representative Offices;

(c) Market Activities; ~~or~~

(d) Ancillary Services~~;~~

(e) Operating a Multilateral Trading Facility; or

(f) Operating an Organised Trading Facility.

(...)