



**AMENDMENTS No.4**  
**AIFC PRUDENTIAL RULES FOR**  
**INVESTMENT FIRMS**

**Approval Date: 22 September 2024**

**Commencement Date: 04 October 2024**

**Nur-Sultan, Kazakhstan**



**In this document the underlining indicates new text and the striking through indicates deleted text in the proposed amendments**

## **1. APPLICATION**

### **1.3 Application of PRU(INV) Rules**

(4) A "PRU Intermediary Investment Firm" is an entity that has an Authorisation to conduct any of the following Regulated Activities:

...

(b) Managing A Collective Investment Scheme, except for Managing A Collective Investment Scheme in relation to a Corporate Treasury Centre Fund;

...