



CONSULTATION PAPER NO. 2

June 2018

PROPOSED AMENDMENT TO JOINT ORDER ON APPROVING THE INTERIM PROCEDURE FOR ENTERING INFORMATION ON THE ASTANA INTERNATIONAL FINANCIAL CENTRE BODIES, THEIR ORGANIZATIONS AND PARTICIPANTS OF THE ASTANA INTERNATIONAL FINANCIAL CENTRE INTO THE NATIONAL REGISTER OF IDENTIFICATION NUMBERS AND ASSIGNING IDENTIFICATION NUMBERS

PROPOSED AMENDMENT TO JOINT ORDER ON APPROVING THE INTERIM PROCEDURE FOR ENTERING INFORMATION ON THE ASTANA INTERNATIONAL FINANCIAL CENTRE BODIES, THEIR ORGANIZATIONS AND PARTICIPANTS OF THE ASTANA INTERNATIONAL FINANCIAL CENTRE INTO THE NATIONAL REGISTER OF IDENTIFICATION NUMBERS AND ASSIGNING IDENTIFICATION NUMBERS

CONSULTATION PAPER NO. 2

PROPOSAL RELATING TO AMENDMENT ON JOINT ORDER ON APPROVING THE INTERIM PROCEDURE FOR ENTERING INFORMATION ON THE ASTANA INTERNATIONAL FINANCIAL CENTRE BODIES, THEIR ORGANIZATIONS AND PARTICIPANTS OF THE ASTANA INTERNATIONAL FINANCIAL CENTRE INTO THE NATIONAL REGISTER OF IDENTIFICATION NUMBERS AND ASSIGNING IDENTIFICATION NUMBERS

Why are we using this paper?

1. Consultation Paper No.2 has draft of the *Joint Order On Amendment to Joint Order “On Approving the interim procedure for entering information on the Astana International Financial Centre bodies, their organizations and Participants of the Astana International Financial Centre into the national register of identification numbers and assigning identification numbers”* (hereinafter – the Joint Order) of the Governor of Astana International Financial Centre dated 26 October 2017 No. 24 and Minister of Justice of the Republic of Kazakhstan dated 31 October 2017 No. 1362 and has been issued to invite public feedback and comments on it.
2. To keep providing efficient records of AIFC Bodies, their organisations and participants of AIFC, its timely circulation to the Ministry of Justice of the Republic of the Kazakhstan (hereinafter – Legal Authority) and generation of business identification numbers (hereinafter – BIN), it is proposed to prolong the Joint Order.
3. This Consultation Paper No. 2 (hereinafter – Consultation Paper) seeks public comments and feedbacks on the Joint Order amendment.

Who should read this paper?

4. This Consultation Paper may be of interest to the current and prospective AIFC Participants, as well as all of the AIFC Bodies and their organisations, and generally, to all interested in providing their feedback.

How to provide comments

5. AIFC encourages interested parties to provide their views and comments on the issues outlined in the Consultation Paper, using the table of comments provided in Annex B.

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6. All comments and feedbacks should be provided to the following person:

Mr Ayan Dyussebayev
AIFC Authority
55/1 Mangilik El
Astana 010000, Republic of Kazakhstan
or emailed to a.dyussebayev@aifc.kz

7. You may as well identify your organisation in the provided comments.
8. By submitting your comments to the AIFC you expressly consent to the processing by the AIFC Authority of the personal data pertaining to you, including, but not limited to the collection, recording, organisation, storage, adaptation or alteration, retrieval, use, disclosure by transmission, dissemination or otherwise making available, alignment or combination, blocking, erasure or destruction of thereof, as per the *AIFC Data Protection Regulations 2017*.

What happens next?

9. The deadline for providing comments on the proposals in this Consultation Paper is 16 July 2018.
10. Once we receive your comments, we will consider if any further refinements are required to the Joint Order amendments annexed to this Consultation Paper at Annex A. Once the task is complete, the draft of the Joint Order will be further processed in accordance with the requirements, stipulated in the *AIFC Regulations on AIFC Acts 2017*.
11. The Joint Order are in draft form only and are, therefore, subject to change following consultation as mentioned above. **Consequently, you should not act on it until the Joint Order is formally enacted.**

Background

12. Joint Order “*On Approving the interim procedure for entering information on the Astana International Financial Centre bodies, their organizations and Participants of the Astana International Financial Centre into the national register of identification numbers and assigning identification numbers*” was approved by the Governor of Astana International Financial Centre dated 26 October 2017 No. 24 and Minister of Justice of the Republic of Kazakhstan dated 31 October 2017 No. 1362.
13. The Joint Order was approved in pursuance of paragraphs 1-1 and 1-2 of the Article 3 of the Constitutional Statute of the Republic of Kazakhstan dated December 7, 2015 "On the Astana International Financial Centre", the Laws of the Republic of Kazakhstan "On State Registration

PROPOSED AMENDMENT TO JOINT ORDER ON APPROVING THE INTERIM PROCEDURE FOR ENTERING INFORMATION ON THE ASTANA INTERNATIONAL FINANCIAL CENTRE BODIES, THEIR ORGANIZATIONS AND PARTICIPANTS OF THE ASTANA INTERNATIONAL FINANCIAL CENTRE INTO THE NATIONAL REGISTER OF IDENTIFICATION NUMBERS AND ASSIGNING IDENTIFICATION NUMBERS

of Legal Entities and Record Registration of Branches and Representative Offices" and "On National registers of identification numbers".

14. The purpose of the above-mentioned joint order is to define the procedures of entering information on the Astana International Financial Centre bodies, their organizations and the Participants of the Astana International Financial Centre into the national register of identification numbers and assigning identification numbers to them.

Proposed amendment

15. The proposed amendment is related only to the prolongation of the Joint Order until 31 December 2018.
16. An amendment was decided to commence on the day it is adopted by the Board of Directors of the AIFCA.

Q1. Do you have any concerns about the prolongation of the Joint Order? If so, what are they, and how should they be addressed?

Legislative Proposal

17. This legislative proposal contains the following:
 - (1) Joint Order (in Annex A);
 - (2) a table of comments to provide your views and comments on the Consultation Paper (at Annex B).

JOINT ORDER

On approving the Interim Procedure for entering information on the Astana International Financial Centre bodies, their organizations and Participants of the Astana International Financial Centre into the national register of identification numbers and assigning identification numbers

In pursuance of paragraphs 1-1 and 1-2 of the Article 3 of the Constitutional Statute of the Republic of Kazakhstan dated December 7, 2015 "On the Astana International Financial Centre", the Laws of the Republic of Kazakhstan "On State Registration of Legal Entities and Record Registration of Branches and Representative Offices" and "On National registers of identification numbers".

HEREBY WE ORDER:

1. To approve the Interim Procedure for entering information on the Astana International Financial Centre bodies, their organizations and the participants of the Astana International Financial Centre (hereinafter - the Centre) into the national register of identification numbers and assigning identification numbers to them (hereinafter - the Interim Procedure) according to the supplement to this joint order.

2. Within five days after signing this order:

1) The Astana Financial Services Authority shall appoint the employees responsible for providing information to the Department of Justice of Astana city;

2) The "AIFC Authority" JSC shall appoint the employees responsible for providing information to the Department of Justice of Astana city;

3) The Department of Justice of Astana city shall appoint the employees responsible for entering information on the Centre bodies, their organizations and Centre participants into the national register of identification numbers and assigning identification numbers to them.

3. The supervision over the implementation of this joint order shall be under the Deputy Minister of Justice of the Republic of Kazakhstan, the Chairman of the Executive Board of "AIFC Authority" JSC and the CEO of the Astana Financial Services Authority.

4. This Joint Order comes into force on the day of its signing and publishing on the website of the Astana International Financial Centre and is valid until ~~July 1~~ December 31, 2018.

INTERIM PROCEDURE
for entering information on the Astana International Financial Centre bodies, their organizations and the participants of the Astana International Financial Centre into the national register of identification numbers and assigning identification numbers to them

PART 1: GENERAL PROVISIONS

- 1.1. This Interim Procedure for entering information on the Astana International Financial Centre bodies, their organizations and the Participants of the Astana International Financial Centre (hereinafter - the Centre) into the national register of identification numbers and assigning identification numbers to them (hereinafter – the Interim Procedure) is developed in accordance with paragraphs 1, 1-1 and 1-2 of the Article 3 of the Constitutional Statute of the Republic of Kazakhstan as of December 7, 2015 “On the Astana International Financial Centre”, the Decree of the Government of the Republic of Kazakhstan as of May 22, 2007 No. 406 “On Approval of the Rules for the formation of identification numbers, Rules for application of natural and legal persons (branches and representative offices), as well as individual entrepreneurs for formation of an identification number and re-registering of previously issued documents”.
- 1.2. For the purposes of applying the Interim Procedure, the basic concepts provided for by the current law of the Centre shall be used.

PART 2: THE PROCEDURE FOR FORMATION OF IDENTIFICATION NUMBERS OF THE CENTRE BODIES, THEIR ORGANISATIONS AND CENTRE PARTICIPANTS

- 1.3. The identification number for the Centre bodies, their organizations and Centre participants is formed in a form of a business identification number (hereinafter - BIN).
- 1.4. Formation of BIN for the Centre bodies, their organizations and Centre participants is carried out to preserve data related to a certain Centre body, its organization and the Centre participant in the national register of business identification numbers, information systems of state bodies and other state institutions.
- 1.5. The procedure for BIN formation for the Centre bodies, their organizations and Centre participants shall be carried out in accordance with paragraph 5 of the Rules for the formation of identification number approved by the Decree of the Government of the Republic of Kazakhstan No. 406 as of May 22, 2007.

PART 3: ORGANISATIONAL-LEGAL FORMS OF THE CENTRE BODIES, THEIR ORGANISATIONS AND CENTRE PARTICIPANTS

- 1.6. The Centre bodies, their organizations and Centre participants shall be created in accordance with the organizational-legal forms defined by the acts of the Centre.
- 1.7. "AIFC Authority" JSC (hereinafter – the AIFC Authority) when providing information on the Centre bodies, as well as the Astana Financial Services Authority Centre (hereinafter - the Committee) when providing information on the organizations of the Centre's bodies and the Centre participants to the Department of Justice of Astana city (further – legal authority) shall independently specify the organizational-legal form of the Centre body, its organization and the Centre participant in all Supplements to this Interim Procedure within the list of organizational-legal forms, provided for in Supplement 1 to this Interim Procedure.

PART 4: THE PROCEDURE OF ENTERING INFORMATION ON THE CENTRE BODIES, THEIR ORGANISATIONS AND CENTRE PARTICIPANTS INTO THE NATIONAL REGISTER OF IDENTIFICATION NUMBERS

- 1.8. With a view of effective interaction of the Committee with the Ministry of Justice of the Republic of Kazakhstan and a clear specification of their responsibilities with regard to the implementation of this Interim Procedure:

- 1) The AIFC Authority and the Committee shall appoint the employee(s) responsible for providing information to the legal authority and send a notification letter specifying the full name of the responsible employees, their work telephones and e-mail address;
 - 2) The legal authority shall appoint the employees responsible for entering information on the Centre bodies, their organizations and Centre participants into the national register of business identification numbers and assigning them a BIN, and shall send a notification letter to the AIFC Authority and the Committee specifying the full name of the responsible employees, their work telephones and e-mail address.
- 1.9. Registration, processing of the notification letter, issuance of the final result by the legal authority shall be organized in accordance with the Chapters 10-11 of the Order of the Minister of Culture and Sport of the Republic of Kazakhstan as of December 22, 2014 No. 144 "On Approval of Standard Rules for Documentation and Document Management in Governmental and Non-Governmental Organizations".
- 1.10. Information on the Centre bodies shall be sent by the responsible employee of the AIFC Authority to the legal authority personally or by mail on paper, and at the same time in the form of electronic documents to the e-mail address of the responsible employee of the Justice Department. Information on the Centre participants and organizations of the Centre's bodies shall be sent to the legal authority by the responsible employee of the Committee personally or by mail on paper and simultaneously in the form of electronic documents to the e-mail address of the responsible employee of the Justice Department.
- 1.11. The legal authority, within three working days from the date of receipt of the information specified in Supplement 2 to this Interim Procedure, shall enter information according to the notification letter into the national register of business identification numbers (the day of receipt and the day of issuance of documents shall not be included in the period of rendering the public service).
- 1.12. The following checks should be conducted in the national register of business identification numbers:
- 1) check of data filling by format, established in accordance with regulatory legal acts in the field of registering of legal entities;
 - 2) verification of data in the integrated State database "Legal persons":
 - a) in the information system "State database "Natural persons"- check of a natural person who is the incorporator (participant) and (or) the head of the Centre body, its organization and the Centre participant, for the establishment of his status (deemed incapacitated or severally incapacitated, and (or) is deemed as missing, and / or declared dead, and / or has an unserved or unexpunged conviction for crimes under articles 237, 238 and 240 of the Criminal Code of the Republic of Kazakhstan);
 - b) in the information system "State database "Legal persons"- check of an individual who is the incorporator (participant) and (or) the head of the Centre body, its organization and the Centre participant, according to the information updated with the State Revenue Committee of the Ministry of Finance of the Republic of Kazakhstan, with a view of finding him in the list of founders (participants) and (or) heads of inactive legal entities;
 - c) in the information system "State database "Legal persons "- checking of a natural person who is the incorporator (participant) and (or) the head of the Centre body, its organization and the Centre participant, with a view of finding persons and organizations associated with the financing of terrorism and extremism, in accordance with the legislation of the Republic of Kazakhstan;
 - d) in the automated information system of the enforcement authorities of the Republic of Kazakhstan - check of a incorporator (a natural and (or) a legal person) and (or) the head of the Centre body, its organization and the Centre participant for the purpose of finding the debtor in the enforcement document, with the exception of a person who is a debtor on enforcement proceedings on collection of periodic payments and who is not in arrears in the enforcement proceedings for periodic penalties for more than three months;
 - e) in the information system "Centralized unified personal accounts" – checking for the presence / absence of debt to the state during liquidation;
 - f) in the information system of the Customs Control Committee - checking for the presence / absence of customs debts in liquidation;
 - g) in the information system "Address register" - obtaining the registering code of the address, which is the location for the registered Centre body, its organization and Centre participant;
 - h) in the information system "Register of movable property" - checking for presence / absence of a pledge on the share of the founders of a legal entity in the share capital.
- 1.13. When providing information on the amount of the share capital of the Centre body, its organization and the Centre participant, on the shares of each of the participants expressed in foreign currency, the Committee shall reflect the amount of the share capital in the corresponding columns of Supplement 2 to this Interim Procedure in KZT at the official rate of the National Bank of the Republic of Kazakhstan as of the date of determining the amount of the share capital (filing an application for registration).
- 1.14. In case of successful result of the check, provided in paragraph 12 of this Interim Procedure, the legal authority shall, within three working days from the date of obtaining the information specified in Supplement 2 to this Interim Procedure from the Committee, enter into the national register of identification numbers the information on the Centre body, its organization and the Centre participant with the assignment of the BIN and send a

corresponding notice to the AIFC Authority, the Committee by mail on paper and simultaneously in the form of an electronic documents to the e-mail address indicated in the letter-notification of the Committee.

- 1.15. If, during the checks provided for in paragraph 12 of this Interim Procedure, no reasons occurred, preventing entering the information on the Centre body, its organization and the Centre participant and forming the identification number in the national register of identification numbers, the responsible employees of the legal authority within five working days from the day of receipt information from the AIFC Authority, the Committee shall send a notification letter to the Committee by mail on paper and simultaneously in the form of electronic documents to the e-mail address of the responsible employee of the Committee.

Based on the notification letter from the legal authority the AIFC Authority, Committee shall take one of the following decisions:

- 1) to refuse registering the Centre body, its organization and the Centre participant; or
- 2) to register the Centre body, its organization and the Centre participant. In case of registering the Centre body, its organization and Centre participant with the participation of persons found in the list of persons and organizations related to financing of terrorism and extremism, the AIFC Authority, the Committee shall obligatorily notify about such registration the authorized body on financial monitoring.

If the decision is made in accordance with part two of paragraph 15 of this Interim Procedure, the AIFC Authority, the Committee shall send a notification of such decision to the legal authority, which within three working days from the day of receipt of the notification, shall enter the information on the Centre body, its organization and the Centre participant into the national register of identification numbers with the assignment of BIN.

- 1.16. In case of re-registration, registering of amendments and additions to the charter documents, reorganization and termination of the activities of the Centre body, its organization and the Centre participant, the AIFC Authority, the Committee shall send a notification to the legal authority about the actualization of information on the Centre body, its organization and the Centre participant provided for in Supplement 3 of this Interim Procedure, in order to update the information systems of national registers of identification numbers.

The legal authority shall, within three working days from the date of receipt of the notification on the actualization of information on the Centre body, its organization and Centre participant, provided for in Supplement 3 to this Interim Procedure, verify the data provided for in paragraph 12 of this Interim Procedure.

- 1.17. Reorganization of a legal entity shall be carried out in cases stipulated by the acts of the Centre. Participants of the reorganization can only be the Centre bodies, their organizations and Centre participants.

- 1.18. In case of reorganization, the record of termination of the legal entity in the national register of identification numbers shall be made:

- 1) in case of merging and separation - based on the information given according to the Supplement 2 to this Interim Procedure;
- 2) in case of acquisition – based on information provided according to Supplement 3 or Supplement 4 to this Interim Procedure.

- 1.19. The identification number shall be excluded from the register of the national register of identification numbers by the legal authority when the activity of the Centre body, its organization and the Centre participant is terminated on the basis of the notification from the Committee (Supplement 3 to this Interim Procedure).

PART 5: PROCEDURE ON TRANSFERRING OF INFORMATION ON THE CENTRE BODIES, THEIR ORGANISATIONS AND CENTRE PARTICIPANTS INTO THE INTEGRATED STATE DATABASE OF “LEGAL ENTITIES” OF THE INFORMATION SYSTEM OF STATE AUTHORITIES OF THE REPUBLIC OF KAZAKHSTAN

- 1.20. Information on of the Centre bodies, their organizations and Centre participants, entered by the legal authority to the national register of identification numbers, are transferred in an automatic mode to the information system of the Committee on statistics of the Ministry of national economy of the Republic of Kazakhstan and the Committee of state revenues of the Ministry of Finance of the Republic of Kazakhstan.

- 1.21. National register of identification numbers provides information on the Centre bodies, their organizations and Centre participants to the state bodies of the Republic of Kazakhstan without any restrictions according to their requests to the information system "State database "Legal entities".

- 1.22. National register of identification numbers will not accept requests for imposition of burdens on registering procedure of Centre bodies, their organizations and Centre participants and on share in the legal entity of the Centre, with the exception of queries from the AIFC Authority and Committee.

- 1.23. National register of identification numbers provides information on the Centre bodies, their organizations and Centre participants:

- 1) to the portal of "electronic government" – only for their registration and authorization on the portal;
- 2) to the informational system of "Centre for Public Service ", for the purpose of registering applications for the provision of public services and receiving the digital signature; and

- 3) to the information system of National certification authority of the Republic of Kazakhstan for the issuance and revocation of certificate of registration.
- 1.24. Information on the Centre bodies, their organizations and Centre participants in the national registers of identification numbers are not subject to disclosure, except for the submission of information by:
- 1) registering authorities to maintain the current status in the information systems of national registers of identification numbers on the basis of written permission of the Committee;
 - 2) authorities conducting criminal prosecution;
 - 3) courts during proceedings on the determination of responsibility for the offense.
- 1.25. The AIFC Authority is responsible for the accuracy of the information on the Centre bodies submitted to the legal authority for the inclusion into the national register of identification numbers.
- 1.26. The Committee is responsible for the accuracy of the information on the organizations of Centre bodies and Centre participants submitted to the legal authority for the inclusion into the national register of identification numbers.

PART 6: THE REQUIREMENTS FOR COMPETING INFORMATION ON THE CENTRE BODIES, THEIR ORGANISATIONS AND CENTRE PARTICIPANTS INTO THE NATIONAL REGISTER OF IDENTIFICATION NUMBERS

- 1.27. When filling in information on the Centre bodies into the national register of identification numbers:
- 1) in the name of the Centre body, the legal form is not specified;
 - 2) in the column "Share capital", the value "0" (zero) or another value, if it exists in the founding documents of the Centre body, is indicated;
 - 3) in the column "Type of legal entity", the Centre body is designated as a non-profit organization;
 - 4) information about the incorporators of the Centre body is not filled out;
 - 5) in the column "Type of ownership", for the Centre body, state ownership is indicated.
- 1.28. When filling in information on the legal entities of the Centre in the organizational-legal forms, specified in items from 2 to 8 of Schedule 1 to this Interim Procedure:
- 1) in the name of the legal entity of the Centre, the organizational-legal form is indicated;
 - 2) in the column "Share capital", the amount is indicated in KZT;
 - 3) information about the incorporators of the legal entity of the Centre is filled out;
 - 4) the type of legal entity of the Centre (commercial or non-commercial organization) is filled out.

Supplement 1
to the Interim Procedure of entering information
about bodies of the Astana International Financial Centre,
organizations and participants of the Astana International
Financial Centre in the National Register of Identification
Numbers and Assigning Identification Numbers,
approved by the joint order
of the Governor of the
Astana International Financial Centre
of “26” October 2017 No. 24
and
the Minister of Justice
of the Republic of Kazakhstan
of “31” October 2017 No. 1362

ORGANIZATIONAL-LEGAL FORM

No.	The name in Russian	The name in Kazakh	Abbreviation in Russian	Abbreviation in Kazakh
1.	Орган МФЦА	АХҚО-ның органы	ОМФЦА	АХҚОО
2.	Общее Партнерство	Жалпы серіктестік	ОБП	ЖС
3.	Ограниченное Партнерство	Шектеулі серіктестік	ОГТ	ШС
4.	Партнерство с ограниченной ответственностью	Жауапкершілігі шектеулі серіктестік	ПОО	ЖШ
5.	Некоммерческая организация	Коммерциялық емес ұйымы	НО	КЕҰ
6.	Частная компания	Жеке компания	ЧК	ЖК
7.	Публичная компания	Жария компания	ПК	ЖРК
8.	Компания специального назначения	Арнайы мақсаттағы компания	КСН	АМК

TYPES OF AIFC BODIES

1	Регуляторный орган МФЦА	АХҚО-ның реттеуші органы
2	Судебный орган МФЦА	АХҚО-ның сот органы
3	Международный арбитражный центр	Халықаралық төрелік орталығы
4	Администрация МФЦА	АХҚО-ның әкімшілігі

REGISTERING BODIES OF AIFC

1	Комитет МФЦА по регулированию финансовых услуг	АХҚО-ның Қаржылық қызметтер көрсетуді реттеу жөніндегі комитеті
2	Администрация МФЦА	АХҚО-ның әкімшілігі

Supplement 2
to the Interim Procedure of entering information
about bodies of the Astana International Financial Centre,
organizations and participants of the Astana International
Financial Centre in the National Register of Identification
Numbers and Assigning Identification Numbers,
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INFORMATION ON THE CENTRE BODIES, THEIR ORGANISATIONS AND CENTRE PARTICIPANTS
PROVIDED BY THE AIFC AUTHORITY, COMMITTEE FOR THE REGISTRATION

No	Section Name
Information about the incorporating authority	
1.	Name of the incorporating authority
Incorporation information	
2.	First name, middle name and surname of the Authorised Signatory
3.	Date of submitting the application package
4.	Type of incorporation (primary, re-registration)
5.	Method of formation (by establishing a new legal entity or reorganization of an existing legal entity). In case of reorganization, specify the type of reorganization (merge, transformation, split, or separation)
6.	Form of organization (new legal entity, branch, representative office)
7.	Full name in Kazakh, Russian, English language (organizational-legal form and name of the applicant)
8.	Abbreviated name of the applicant in Kazakh, Russian, English language (<i>if applicable</i>)
9.	Date of the Order of the Governor, Committee
10.	Number of the Order of the Governor, Committee
11.	Organizational-legal form
12.	Form of ownership (state or private)
13.	Is the applicant using standard articles of association?
14.	Type of legal entity/branch/ representative office (commercial or non-commercial)
15.	Type of private enterprise (small, medium or large)
16.	Subsidiary (yes/no)
17.	International organization (yes/no)
18.	Are foreign investors participating in the entity?
19.	Is a legal entity a Value Added Tax (VAT) payer?
Information about the head organization (in case of registration of a branch or representative office)	
20.	Resident / non-resident
21.	Business Identification Number of the head organization (if applicable)
22.	Full name of the head organization
<i>Information on the head organization - Non-resident in the Republic of Kazakhstan</i>	

23.	Date of starting the activities in the Republic of Kazakhstan (or the date of submission of the application for registration) (if applicable)
24.	Country of incorporation
25.	Registration number in the country of incorporation
26.	Date of incorporation in the country of incorporation
27.	Tax registration number in the country of incorporation
28.	Type of organization
29.	Type of business activity
30.	Country of residence
31.	Name of registration authority
32.	Address of registration authority
33.	Surname, name and middle name of the CEO of the head organization
34.	The legal address of the head organization in the country of registration
35.	Phone number (if available)
36.	Email (if available)
37.	International organization (yes/no)
38.	Regime of registration (Special Economic Zone, Offshore or another regime)
39.	Type of share capital
40.	Size of share capital
The legal address of the AIFC Participant	
41.	Registration Code of the Address (RCA) ¹ (in the case of the presence of an RCA, Columns 43-49 need not to be filled in) (if available)
42.	Postal address
43.	Name of the district in Astana
44.	Type of construction (if available)
45.	Building number
46.	Block (if available)
47.	Housing (if available)
48.	Type of accommodation (if available)
49.	Number of apartment, non-residential premises (if available)
50.	Office number (if available)
51.	Telephone (if available)
Information about the CEO	
52.	Citizenship (citizen of the Republic of Kazakhstan / foreign / statelessness)
53.	Individual Identification Number of the CEO
54.	Country of citizenship (not required for stateless persons)
55.	Country of main residence
Type of economic activity	
56.	The name of the activity (as indicated by the Authorised Signatory)
57.	The code according to the Standard Industrial Classification
58.	Belonging to the main economic activity
59.	Expected number of employed people
Information about the share capital of a legal entity	
60.	The amount of the share capital, in KZT or USD
Share of state capital:	
61.	amount in KZT or USD
62.	share in %
Share of private capital:	
63.	amount in KZT or USD
64.	share in %
65.	Number of shareholders
Incorporators – Natural persons (if applicable)	
66.	Citizenship (citizen of the Republic of Kazakhstan / foreign / statelessness)
67.	Individual Identification Number (IIN) (in case of availability of IIN, items 68-71 are not filled)
68.	Surname, first name and middle name
69.	Country of citizenship

70.	Country of main residence
<i>Information about a foreign person without Individual Identification Number of the Republic of Kazakhstan</i>	
71.	Tax registration number in the country of incorporation
72.	ID document
73.	Document Number
74.	Date of issue of the document
75.	Share in the share capital, %
76.	Amount in KZT
Incorporators – Legal entities (if applicable)	
77.	Resident / non-resident
78.	Business Identification Number (BIN) <i>(in case of BIN availability items 77-80 are not filled)</i>
79.	Full name
<i>Information of the non-resident incorporator</i>	
80.	Country of registration
81.	Registration number in the country of registration
82.	Tax registration number in the country of incorporation
83.	Date of registration
84.	Type of activity (for the non-resident of Kazakhstan, fill in regardless of the presence / absence of the BIN)
85.	Share in the share capital, %
86.	Amount in KZT
Details of Reorganization (if the method of formation is reorganization)	
87.	Type of reorganization
88.	Number of legal entities participating in the reorganization
89.	Participants in the reorganization
90.	BIN
91.	Full name
Registration of tax payers	
92.	Is it required to register as a Value Added Tax (VAT) payer? (yes/no)

Supplement 3
to the Interim Procedure of entering information
about bodies of the Astana International Financial Centre,
organizations and participants of the Astana International
Financial Centre in the National Register of Identification
Numbers and Assigning Identification Numbers,
approved by the joint order
of the Governor of the
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NOTIFICATION OF ACTUALISATION OF INFORMATION ABOUT THE CENTRE BODIES, ITS ORGANISATIONS
AND THE CENTRE PARTICIPANTS

No.	Section Name
Information about registering authority	
1.	The name of the registering authority
Registration information	
2.	Registering action (re-registration, modification)
3.	Surname and initials of the applicant
4.	Date of submission of an application form
5.	BIN
6.	Type of reorganization (merger, allocation)
7.	The basis for re-registration, change of information
8.	Full name in Russian
9.	Full name in Kazakh and English languages (if the name is changed)
10.	Abbreviated name in Kazakh, Russian and English languages (if the name is changed)
11.	Date of the order of the Governor, the Committee, the date of the information change
12.	Number of the order of the Governor of the Centre, the Committee on re-registration, registration of changes
13.	Form of ownership (public or private)
14.	Is the applicant using standard articles of association?
15.	Type of legal entity (branch, representative office) (commercial or non-commercial)
16.	Type of private enterprise (small, medium or large)
17.	Is it a branch?
18.	Is it an international organization?
19.	Are foreign investors participating in the entity?
<i>The following information is to be filled in if there are changes according to Supplement 1</i>	
The legal address of the Centre body, its organization, Centre participant	
Information about the CEO	
Type of economic activity	
Information on the share capital	
Information on the incorporators	
Participants of the reorganization (if a legal entity has separated from another legal entity or included another legal entity to itself)	

Supplement 4
to the Interim Procedure of entering information
about bodies of the Astana International Financial Centre,
organizations and participants of the Astana International
Financial Centre in the National Register of Identification
Numbers and Assigning Identification Numbers,
approved by the joint order
of the Governor of the
Astana International Financial Centre
of “26” October 2017 No. 24
and
the Minister of Justice
of the Republic of Kazakhstan
of “31” October 2017 No. 1362

NOTIFICATION OF THE TERMINATION OF THE ACTIVITY OF THE CENTRE BODY, ITS ORGANISATIONS
AND THE CENTRE PARTICIPANTS

No.	Section name
Information about the registering body	
1.	Name of registering body
Registration information	
2.	Name and initials of the applicant
3.	Date of application
4.	BIN
5.	Full name in Russian
6.	Date of the Order of the Governor, the Committee
7.	Number of the Order of the Governor, the Committee on the termination of the activity
Information about termination of the activity	
8.	Type of termination of the activity (voluntary and involuntary)
9.	Type of reorganization (inclusion to another legal entity)
10.	Reason for activity termination (application of the entity, revocation of the licence, bankruptcy, cancellation of the order on registration, extrajudicial liquidation procedure, compulsory liquidation, court decision on recognition of the legal entity as invalid)
11.	Number of the court decision on activity termination
12.	Date of the court's decision
13.	The name of the body that took decision to terminate the activity
14.	BIN of the legal entity - successor (BIN is filled in case of inclusion to another legal entity)
15.	Name of the legal entity - successor

Annex B

Format for providing public comments to AIFC Authority on Consultation Paper No. 2 of 2018



PROPOSED AIFC RULES ON ENTERING INFORMATION ON THE AIFC BODIES, THEIR ORGANISATIONS, PARTICIPANTS OF AIFC INTO THE NATIONAL REGISTER OF IDENTIFICATION NUMBERS

Note 1: AIFC Authority reserves the right to publish, including on its website, any comments you provide. However, if you wish to remain anonymous, you must expressly request at the time of making comments that this should be the case.

Note 2: The column 'comments on proposed policy' relates to the policy explained in the Consultation Paper ("CP"). The column, 'comments on proposed legislation' refers to the draft legislation reflecting the proposed policy.

Note 3: It is possible that you may not have any comments, either on the proposed policy or legislation or may have comments on one and not the other. You may leave a blank column if you either agree to the proposed position, or you have no concerns relating it.

Note 4: If there are any ambiguities relating to the proposed policy or legislation, you may raise those in your comments.

Name of individual/entity providing comments	
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No.	Comments on proposed policy	Comments on proposed legislation
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	Q1. Do you have any concerns about the prolongation of the Joint Order? If so, what are they, and how should they be addressed?	